



Patent
Attorney's Docket No. 018793-243

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Yoriaki MATSUZAKI et al.

Application No.: 09/806,340

Filed: March 29, 2001

For: YELLOW HUE COMPOUND AND
AQUEOUS INK FOR INK-JET
RECORDING SYSTEM USING THE
SAME

)
) Group Art Unit: 1714

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) Examiner: C. Shosho

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) Confirmation No.: 8537

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**INFORMATION DISCLOSURE STATEMENT
TRANSMITTAL LETTER**

Assistant Commissioner for Patents
Washington, D.C. 20231

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TC 1700

Sir:

Enclosed is an Information Disclosure Statement and accompanying form PTO-1449 for the above-identified patent application.

- ☒ No additional fee for submission of an IDS is required.
- ☐ The fee of \$180.00 (126) as set forth in 37 C.F.R. § 1.17(p) is also enclosed.
- ☒ A certification under 37 C.F.R. § 1.97(e) is also enclosed.
- ☐ A certification under 37 C.F.R. § 1.97(e), and the fee of \$180.00 (126) as set forth in 37 C.F.R. § 1.17(p) are also enclosed.
- ☐ Charge \$_____ to Deposit Account No. 02-4800 for the fee due.
- ☐ A check in the amount of \$_____ is enclosed for the fee due.

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

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By: Robert G. Mukai
Robert G. Mukai
Registration No. 28,531

Date: July 31, 2002



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Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. § 1.56, applicants hereby submit a copy of the European Search Report dated June 4, 2002, as well as a copy of each cited document contained in the Search Report, which is in conformance with 37 C.F.R. §§ 1.97 and 1.98.

To assist the Examiner, the documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner initialed copy of this form be returned to the undersigned.

CERTIFICATION UNDER 37 C.F.R. §1.97(e)

I, the undersigned, hereby certify that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

Respectfully submitted,
BURNS, DOANE, SWECKER & MATHIS, L.L.P.

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GROUP
1714

[illegible]

FOREIGN PATENT DOCUMENTS						
Examiner Initials	Foreign Patent Document		Country	Date of Publication (MM-DD-YYYY)	Translation	
	Number	Kind Code (if known)			Yes	no
	JP-A-7-156564		JAPAN	06-20-1995	abst	
	JP-A-6-145540		JAPAN	05-24-1994	abst	

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		
Examiner Signature		Date Considered	

(05/01)